



Agent's File: 91436-351

THE HONOURABLE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231



Sir/Madam:

Transmitted herewith for filing is the patent application of

Inventors: Ian B. BETTY, and Maxime POIRIER

For: WAVEGUIDE MODE STRIPPER FOR INTEGRATED OPTICAL COMPONENTS

Enclosed are:

- ☒ 9 sheet(s) of drawing(s).
☒ An assignment of the inventor(s) to NORTEL NETWORKS LIMITED
☐ A certified copy of a _____ application.
☒ Declaration and power of attorney.
☐ Applicant claims small entity status. See 37 CFR 1.27.
☒ An Information Disclosure Statement.
☐ Request and Certification Under 35 USC 122 (b)(2)(B)(i)

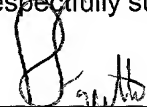
The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	SMALL ENTITY			OTHER THAN SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE				\$370.00	OR		\$740.00
TOTAL CLAIMS	16 - 20 = 0	0	x 9		OR	0 x 18	\$0.00
INDEP. CLAIMS	9 - 3 = 6	6	x 42		OR	6 x 84	\$504.00
MULTIPLE DEPENDENT CLAIM PRESENTED			x 140		OR	0 x 280	
ASSIGNMENT(S) RECORDATION FEE(S)			x 40			1 x 40	\$40.00
* If the difference in Col. 1 is less than zero, enter "O" in Col. 2			TOTAL		OR	TOTAL	\$1284.00

THE HONOURABLE ASSISTANT COMMISSIONER FOR PATENTS
Page 2

- ☐ Please charge our Deposit Account No. _____ in the amount of \$ _____. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$1284.00 is enclosed to cover the filing fees and assignment recordal fee.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-2548. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 19-2548. A duplicate copy of this sheet is enclosed.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,



Ronald D. Faggetter
Registration No. 33,345

SMART & BIGGAR
438 University Avenue
Suite 1500, Box 111
Toronto, Ontario
Canada M5G 2K8

Telephone: (416) 593-5514
Facsimile: (416) 591-1690

February 11, 2002
Enclosures
(91436-351 RDF/CCC/kek)

THE HONOURABLE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir/Madam:

Transmitted herewith for filing is the patent application of

Inventors: Ian B. BETTY, and Maxime POIRIER

For: WAVEGUIDE MODE STRIPPER FOR INTEGRATED OPTICAL COMPONENTS

Enclosed are:

- ☒ 9 sheet(s) of drawing(s).
☒ An assignment of the inventor(s) to NORTEL NETWORKS LIMITED
☐ A certified copy of a _____ application.
☒ Declaration and power of attorney.
☐ Applicant claims small entity status. See 37 CFR 1.27.
☒ An Information Disclosure Statement.
☐ Request and Certification Under 35 USC 122 (b)(2)(B)(i)

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	SMALL ENTITY			OTHER THAN SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE				\$370.00	OR		\$740.00
TOTAL CLAIMS	16 - 20 = 0	0	x 9		OR	0 x 18	\$0.00
INDEP. CLAIMS	9 - 3 = 6	6	x 42		OR	6 x 84	\$504.00
MULTIPLE DEPENDENT CLAIM PRESENTED			x 140		OR	0 x 280	
ASSIGNMENT(S) RECORDATION FEE(S)			x 40			1 x 40	\$40.00
* If the difference in Col. 1 is less than zero, enter "O" in Col. 2			TOTAL		OR	TOTAL	\$1284.00

THE HONOURABLE ASSISTANT COMMISSIONER FOR PATENTS
Page 2

☐ Please charge our Deposit Account No. _____ in the amount of \$ _____. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$1284.00 is enclosed to cover the filing fees and assignment recordal fee.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-2548. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 19-2548. A duplicate copy of this sheet is enclosed.

☒ Any patent application processing fees under 37 CFR 1.17.

☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,



Ronald D. Faggetter
Registration No. 33,345

SMART & BIGGAR
438 University Avenue
Suite 1500, Box 111
Toronto, Ontario
Canada M5G 2K8

Telephone: (416) 593-5514
Facsimile: (416) 591-1690

February 11, 2002
Enclosures
(91436-351 RDF/CCC/kek)